

This Page Is Inserted by IFW Operations
and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

**As rescanning documents *will not* correct images,
please do not report the images to the
Image Problem Mailbox.**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventor(s): Quoc Le et al.

Appln. No.: 10/655,713

Confirm. No.: 8788

Filed: September 5, 2003

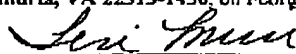
Title: SELF-SERVICE CUSTOMER LICENSE
MANAGEMENT APPLICATION ALLOWING USERS TO
INPUT MISSING LICENSESPATENT APPLICATION

Art Unit: 3629

Examiner:

Customer No. 23910

CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 C.F.R. § 1.8
I hereby certify that this correspondence is being sent by Facsimile to
Office of Initial Patent Examination's, Filing Receipt Corrections;
facsimile number: (703) 746-9195, Commissioner for Patents,
Alexandria, VA 22313-1450, on February 13, 2004.



(Signature)

Teri Muir

Signature Date: February 13, 2004

REQUEST TO CORRECT FILING RECEIPT

Office of Initial Patent Examination's
Filing Receipt Corrections
Facsimile No.: (703) 746-9195

Dear Sir:

A filing receipt for the above-identified patent application has been issued by the U.S. Patent and Trademark Office (copy attached) and has been found to contain the following error(s):

- (1) Please correct the "Domestic Priority data as claimed by applicant" as indicated:

This appln claims benefit of 60/485,867 07/09/2003
and claims benefit of 60/475,456 06/03/2003

- (2) Please correct the "Title" as indicated:

"Self-service customer license management application allowing users to input missing licenses"

A copy of the Preliminary Amendment is attached for reference regarding the correction of Domestic Priority.

Total pages transmitted by facsimile: 5

Respectfully submitted,

Date:



By:



Joseph P. O'Malley, Reg: 36,226

FLIESLER MEYER LLP

Four Embarcadero Center, Suite 400

San Francisco, California 94111-4156

Telephone: (415) 362-3800

Atty Docket No.: BEAS-01454US6 SRM/JPO

1



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22312-1450
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/655,713 ✓	09/05/2003 ✓	3629 ✓	876	BEAS-01454US6	4	27 ✓	3 ✓

23910
FLIESLER DUBB MEYER & LOVEJOY, LLP
FOUR EMBARCADERO CENTER
SUITE 400
SAN FRANCISCO, CA 94111

RECEIVED

JAN 02 2004

FLIESLER MEYER LLP

COPY

CONFIRMATION NO. 8788 ✓

CORRECTED FILING RECEIPT



OC000000011551735

Date Mailed: 12/29/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Quoc Le, Burlingame, CA; ✓
Carey E. Garibay, Campbell, CA; ✓
Mario Kosmiskas, San Jose, CA; ✓
Thomas Han, Cupertino, CA; ✓
Jonathan Thompson, Redwood City, CA; ✓

Assignment For Published Patent Application

Bea Systems, Inc., San Jose, CA; ✓

Domestic Priority data as claimed by applicant

This appln claims benefit of 60/485,867 07/09/2003 ✓
and claims benefit of 60/475,546 06/03/2003

Foreign Applications

456

If Required, Foreign Filing License Granted: 12/04/2003 ✓

Projected Publication Date: 12/09/2004 ✓

Non-Publication Request: No ✓

Early Publication Request: No ✓

Fliesler, Meyer LLP

File: BEAS-01454US6

Action Item: Revised Publication

Date Due: December 9, 2004

Critical Date: December 9, 2004

Attorney Path: SRM/JP=

Docketed By: JCM

Verified By:

Title

Self-service customer license management application allowing users to input missing licenses

Preliminary Class

705

LICENSES

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

COPY**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventor(s): Quoc Le, et al.

Appln. No.: 10/665,713

Confirm. No.: 8788

Filed: September 5, 2001

Title: SELF-SERVICE CUSTOMER LICENSE
MANAGEMENT APPLICATION ALLOWING
USERS TO INPUT MISSING LICENSESPATENT APPLICATION

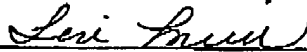
Art Unit: 6329

Examiner:

Customer No. 23910

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Art Unit 6329, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on February 11, 2004



(Signature)

Teri Muir

Signature Date: February 11, 2004

PRELIMINARY AMENDMENT

COPY

Art Unit 6329

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

Before examining the subject application, under Rule 37 C.F.R. 1.78 (5)(i) and 1.78(5)(ii); this amendment is being submitted within the sixteen months from the filing date of the prior filed provisional applications and we respectfully request that the application be amended as follows.

- 1 -

Attorney Docket No.: BEAS-01454US6 SRM/TPO
JOMalley/Beas/1454/1454us6/Second prelim.wpd

COPYIn the Specification:

Due to clerical error, the application number of the priority claim was incorrectly entered and should be corrected as indicated below. Please amend the application to include the corrected Priority Claim.

This application claims priority to the following U.S. Provisional Patent Applications:

U.S. Provisional Patent Application No. ~~60/475,546~~, 60/475,456 entitled "Self-Service Customer Management Application", filed June 3, 2003 and U.S. Provisional Patent Application No. 60/485,876, entitled "Self-Management Customer License Management Application", filed July 9, 2003.

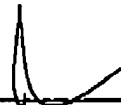
The Commissioner is authorized to charge any underpayment or credit any overpayment to Deposit Account No. 06-1325 for any matter in connection with this response, including any fee for extension of time, which may be required.

Respectfully submitted,

Date:

2/11/04

By:



Joseph P. O'Malley
Reg. No. 36,226

FLIESLER DUBB MEYER & LOVEJOY LLP
Four Embarcadero Center, Suite 400
San Francisco, California 94111-4156
Telephone (415)362-3800

- 2 -

Attorney Docket No.: BEAS-01454US6 SRM/JPO
JOMalley/Beas/1454/1454us6/Second prelim.wpd